JUDICIAL INFORMATION SYSTEM COMMITTEE

March 6, 2015
9:30 a.m. to 2:30 p.m.
AOC Office, SeaTac, WA

DRAFT - Minutes

Members Present:
Justice Mary Fairhurst, Chair
Mr. Larry Barker
Chief Robert Berg
Judge Jeanette Dalton - phone
Ms. Callie Dietz
Ms. Delilah George
Mr. Rich Johnson
Judge J. Robert Leach
Ms. Barb Miner
Ms. Brooke Powell
Judge Steven Rosen
Mr. Bob Taylor
Mr. Jon Tunheim
Ms. Aimee Vance
Ms. Yolande Williams
Judge Thomas J. Wynne

Members Absent:
Judge James Heller

AOC/Temple Staff Present:
Mr. Kevin Ammons
Ms. Tammy Anderson
Mr. Dan Belles
Ms. Jennifer Creighton
Ms. Vicky Cullinane
Ms. Vonnie Diseth
Mr. Mike Keeling
Mr. Eric Kruger
Ms. Kate Kruller
Mr. Dirk Marler
Ms. Mellani McAleenan
Ms. Pam Payne
Mr. Ramsey Radwan
Ms. Maribeth Sapinoso
Ms. Heather Stoffle
Mr. Kumar Yajamanam

Guests Present:
Judge Donna Tucker
Judge Corinna Harn
Mr. Othniel Palomino
Ms. Lea Ennis
Mr. Enrique Kuttemplon
Ms. Joann Moore

Call to Order

Justice Mary Fairhurst called the meeting to order at 9:30 a.m. and introductions were made.

October 24, 2014 Meeting Minutes

Justice Fairhurst asked if there were any additions or corrections to the October 24, 2014 meeting minutes. Hearing none, Justice Fairhurst deemed them approved.

JIS Budget Update (13-15 Biennium)

Mr. Ramsey Radwan presented an update on State General Fund Revenue. On February 20 the Economic and Revenue Forecast Council provided a new forecast. This provided information for legislature to start thinking about the budget. Supplemental budget will be done at the end of session. Revenues are projected to be up 8.7% between the current biennium and the next biennium, and another 9% between 15-17 and 17-19 biennia. It is anticipated that an additional $2.9 billion in revenue will be available in the next biennium. Of that $2.9 billion, about 75% will be consumed on ongoing activities. While revenues are up for the next few biennia so are costs. It will be the typical balancing act with legislature.

Mr. Ramsey Radwan presented the Judicial Information System Assessment. Current Judicial Information System (JIS) Account revenue and fund balance will not meet the anticipated expenditure needs of current projects, existing carry forward of staff and keeping the lights on.

Without additional resources the JIS account will experience a large deficit during the 2017-2019 biennium. No other fund source is available and financing options are very limited.

Mr. Radwan reviewed the two funding RCWs and the authority for the Supreme Court to increase the assessment and base traffic infraction via the rule making process. Mr. Radwan also provided the history of Penalty Increases.

Mr. Radwan reviewed the fund sweeps from the JIS account over the past 8 years. It equates to approximately $1.8 million a year or 9% annual reduction in revenue. This has directly affected the ability to fund projects.

Mr. Radwan reviewed estimated new costs that are over and above normal operations including the SC-CMS and CLJ-CMS projects, security, maintenance (for everything that surrounds the IT structure), one time and other project costs.

Using the Fiscal Growth Factor to determine growth, the proposal is to increase the JIS Assessment from $17 to $23, and the Base Penalty from $42 to $48.

Motion: Justice Mary Fairhurst

Recommend to the Supreme Court an increase in the current JIS assessment from $17 to $23 and increase of the base penalty for, $42 to $48.

Second: Judge Steve Rosen

Voting in Favor: Justice Mary Fairhurst, Mr. Larry Barker, Chief Robert Berg, Judge Jeanette Dalton, Ms. Callie Dietz, Ms. Delilah George, Mr. Rich Johnson, Judge J. Robert Leach, Ms. Barb Miner, Ms. Brooke Powell, Judge Steven Rosen, Mr. Bob Taylor, Mr. Jon Tunheim, Ms. Aimee Vance, Ms. Yolande Williams, Judge Thomas J. Wynne

Opposed: none
Absent: **Judge Jim Heller**

Information Networking Hub – (INH)

Ms. Vonnie Diseth provided a brief summary of the work that has been done over the years regarding the INH and Data Exchanges. The INH is a large and complex concept and the Enterprise Data Repository (EDR) is a key component of that concept. Mr. Belles and Mr. Kruger are going to explain in detail what the INH is and how it is designed to work. In June 2011 Sierra Systems was hired to work on the Superior Court Data Exchange using the NIEM model. The purpose was to help Pierce County so they did not have to do double data entry anymore. Sixty-six web services were developed by Sierra Systems. During implementation time with Pierce County six of the services were enabled. This was due in part to complex issues with the NIEM model. Those web services are currently being used to integrate the Odyssey system with JIS. After the experience with Pierce County it was determined that the process by which to develop web services needed to be much simpler. In fall of 2014 the team regrouped to determine other alternatives and what could be done to simplify the processes for both AOC and the courts. Currently at AOC we have two teams focused on data exchanges. The Superior Court Case Management System (SC-CMS) integration team. They are working on three different pieces, One is the party synchronization between Odyssey and JIS; another is

the case replication between Odyssey and JIS; third is the link only option for document management integration with Odyssey for the counties which have elected to keep their current document management systems.

We also have another team working on the EDR, which will be explained in a few minutes by Mr. Belles and Mr. Kruger. Work has been ongoing for years, focusing on different aspects of the INH which is a larger concept.

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Mr. Belles provided a brief overview of the Information Networking Hub (INH) and the Enterprise Data Repository (EDR) and the differences between the two. Mr. Belles stated that the INH was a collection of components, processes, documents and governance that made up the AOC's capability to exchange information between internal AOC and external court systems. Mr. Belles stated that the EDR was a smaller foundational component within the INH whose purpose was to receive, store and publish statewide shared information between courts.

Mr. Belles provided an overview of the EDR explaining that the goal was provide statewide shared data based on the approved JIS data standards and support the AOC's long term modernization strategy. Mr. Belles stated that a Proof of Concept of the EDR was conducted and the results were beneficial in a number of areas. Mr. Belles continued that the EDR Proof of Concept demonstrated that the data in JIS could be successfully mapped to the JIS data standards and stored in a new database. He also stated that the Proof of Concept showed that data could be sent and retrieved from the EDR. Mr. Belles also stressed that the Proof of Concept allowed them to develop as user interface to view the data and baseline statistics on performance of the database that showed the current architecture was viable for future growth. Mr. Belles shared that the most productive aspect of the Proof of Concept was the development of the aspects and tasks needed to fully implement the EDR to production.

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Mr. Kruger gave an overview of what the EDR provides and a roadmap for the EDR development and integration. He provided a summary discussion on the EDR findings, integration implications, and effort for customer onboarding. After the presentation, there were several question asked by the attendees related to the EDR. Two of the significant question were:

1. "When King County District Court goes live, how will other courts get their information?"
   Summary Answer: The King County information will be in the EDR. Applications like JABS, ASRA, and others that need the information will be modified to access the EDR for data display.
2. "Should juvenile departments be planning on getting a new system (JCS replacement) so that it can get King County Superior case information"
   Short Answer: The impact to JCS has been identified and high level plans have been made to make changes to JCS to get necessary information from the EDR."

Update on JISC Rule 13 & Discussions with Legislators

Justice Mary Fairhurst provided an update on recent discussions with legislators regarding the changes to JIS Rule 13 recommended by the JISC. In October, the committee approved changes to JIS Rule 13 and recommended to the Supreme Court that the change to the rule be handled on an expedited basis. Due to conversations with legislators, Justice Fairhurst asked

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the Rules Committee to put it on hold until she could she could discuss the outcome of the conversations with this committee. Representatives Hudgins and Hunter, who have been very involved both in our funding and in the provisos that our funding has been subject to, along with Senator Andy Hill all wanted to talk with Justice Fairhurst.

In a conversation with Representative Hunter in late December he expressed his unhappiness, especially stating that he did not want there to be any double data entry. That was not his intention with the provisos. Representative Hudgins determined he would be the main point of contact to work with us even though previously it has been both Representatives Hunter and Hudgins. The strong suggestion given to Justice Fairhurst was to work with King County to find a way to provide a resolution that does not result in double data entry.

INH and the EDR are already addressing some of the issues surrounding the concern of double data entry but AOC needed a willing partner to move forward. King County stated they are a willing partner and they have allocated county funding to move forward.

Justice Fairhurst met with Mr. Fred Jarrett from King County, and then in a follow up meeting that included Mr. Bill Kehoe and Ms. Vonnie Diseth, discussed the options of creating a solution that would eliminate double data entry for King County.

The AOC INH/EDR team and the King County IT team met multiple times to determine if it was even possible to achieve within the available time and resources limitations. A proposal was created as an outcome of those meetings that state the requirements and risks. It is estimated at a cost of $7.1 million dollars to make this project come to fruition. The proposal included the need for additional staff, contractors and more office space.

The time frame for completion of this project is early 2017, and this timeline is consistent with the timeline King County has set for their CMS RFP and project timeline. The final outcome is still to be determined by legislators for the funding and final approval.

While all of this was a digression away from JIS Rule 13, Justice Fairhurst stated she is not asking the committee to revisit the rule, but at this time she has asked the Rules Committee to put the request on hold the until all of the factors play out and we see what results from the current legislative session. Justice Fairhurst stated there are no issues with the data standards themselves as it provides direction and people can work to the same end. The concern is the hard date for courts to be considered in or out of JIS as of April 2014. The Rules Committee does have the option to modify the rule and enact it, or they can modify it and republish it.

Justice Fairhurst shared we have the opportunity to deliver a message as to what this committee wants to have done and she can deliver that message, or deliver a message that says we passed the data standards, and we are working on the other issues and we don't need the enforcement, because the reason we thought we needed it was because of the what we understood the legislature to say in the previous budgetary proviso.

Ms. Mellani McAleenan provided an update on a meeting that took place with Representative Hudgins after the signed proposal was presented to him. Ms. Diseth, Ms. McAleenan, and Ms. Dietz met with Representative Hudgins to provide a high level briefing and discuss the options for completing the proposal. Representative Hudgins asked about the risks and if funding was provided whether the plan could be accomplished. Ms. Diseth answered there are risks and that AOC would need additional staff and facilities, but with adequate funding the proposal could be done.

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While there was not actual commitment for funding at that meeting, there seemed to be an understanding about funding needs and the impact of the $22 million that has been swept from the JIS account during recent years. Representative Hudgins indicated that he planned to move it forward internally with the legislature.

Justice Mary Fairhurst asked for consensus that we respond to Justice Johnson that with the things that are in play right now we would like the Rules Committee to hold Rule 13 in abeyance until we (JISC) comes back with a follow up request.

ITG #2 – SC-CMS Update

Mr. Dexter Mejia and Ms. Marcea Basham provided an overview of the decision needed on Odyssey case number format and codes for Odyssey courts as approved by the Court User Workgroup and the project team.

Motion: Judge Thomas Wynne

I move that the JISC approve the Superior Court Case Management System (SC-CMS) Court User Work Group's (CUWG) recommendation to use a new case number format in the new statewide case management system for the 37 Superior Courts and County Clerk's offices implementing Odyssey.

Second: Judge Jeanette Dalton

Voting in Favor: Justice Mary Fairhurst, Mr. Larry Barker, Chief Robert Berg, Judge Jeanette Dalton, Ms. Callie Dietz, Ms. Delilah George, Mr. Rich Johnson, Judge J. Robert Leach, Ms. Brooke Powell, Judge Steven Rosen, Mr. Bob Taylor, Mr. Jon Tunheim, Ms. Aimee Vance, Ms. Yolande Williams, Judge Thomas J. Wynne

Opposed: None
Abstaining: **Ms. Barb Miner**
Absent: **Judge Jim Heller**

Motion: Judge Jeanette Dalton

I move that the JISC approve the Superior Court Case Management System (SC-CMS) Court User Work Group's (CUWG) and the AOC SC-CMS Project Team's recommendations to use new codes and formats in the new statewide case management system for the 37 Superior Courts and County Clerk's offices implementing Odyssey.

Second: Judge Thomas Wynne

Voting in Favor: Justice Mary Fairhurst, Mr. Larry Barker, Chief Robert Berg, Judge Jeanette Dalton, Ms. Callie Dietz, Ms. Delilah George, Mr. Rich Johnson, Judge J. Robert Leach, Ms. Barb Miner, Ms. Brooke Powell, Judge Steven Rosen, Mr. Bob Taylor, Mr. Jon Tunheim, Ms. Aimee Vance, Ms. Yolande Williams, Judge Thomas J. Wynne

Opposed: None
Absent: **Judge Jim Heller**

Ms. Maribeth Sapinoso provided an update on the SC-CMS project to the JISC. Ms. Sapinoso began with the most recent activities surrounding the Pilot Site, followed by the Early Adopters,

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then with Snohomish and Spokane counties for the 2016 statewide rollout. These recent activities included Lewis county case records and document images successfully converted into Odyssey. Also included was the successful conversion of statewide party records, close to 9 million records in total.

Other project milestones recently completed were the first Pilot Mock Go Live, kick off meetings and Odyssey demonstrations with the Early Adopter sites, conducting stakeholder meetings, securing training and training resources for Pilot and Early Adopter sites, initial technical readiness meetings with Snohomish and Spokane County, and providing working prototype and documentation to 3rd party vendors for the DMS link ("pointer") option. Ms. Sapinoso continued with the project activities currently in progress and next steps. These activities include preparing for the Integrations Mock Go Live, the second Mock Go Live at Lewis County, and end user training for Pilot site.

JIS Priority Project ITG 41 Update

Ms. Kate Kruller, ITG 41 Project Manager, updated the JISC on project activity. In October, the project schedule was placed on hold due to test resource constraints.

Ms. Kruller reported that testing resources were assigned to the project to continue the work whenever extra capacity was available at AOC. This persistence paid off – the Quality Assurance Testing is complete. Iteration 1 is in final preparations for release to run in pilot courts in April, 2015.

The timelines for the next steps are as follows:

- **April – May, 2015** to implement the Preliminary Destruction Rules in four pilot courts (Everett Municipal Court, Yakima Municipal Court, Cowlitz District Court and Thurston District Court)

- **June, 2015 - March, 2016** to implement the Preliminary Rules in the remaining 188 courts

- **October, 2015 – August 2016** to program the New Destruction Rules when the pilot court implementation is finished.

The Project Manager will keep the ITG Project Steering Committee and Pilot Courts apprised of IT 41 Project progress going forward in to the implementation. Ms. Kruller will report back to the JISC in June, 2015 with any updates.

Legislative Update

Ms. Mellani McAleenan provided a brief update on current legislative activity. AOC is tracking about 500 bills related to the judicial branch. There were approximately 2300 bills introduced this session to date. There have been about 275 fiscal notes for bills that affect the judicial branch in some manner. House of origin cut off is on March 11.

The House and Senate have taken on relatively controversial issues, and while there have been some partisan issues they have maintained a good rapport with each other. During the Senate transportation vote, a Senate democrat questioned the two-thirds majority requirement imposed by the Senate was valid. The lieutenant governor ruled that he would not enforce an

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unconstitutional rule, the initiative on which it was based having already been overturned by the court. This is a good thing as it would have been hard for the senate to pass anything.

In terms of request legislation, the BJA bill on court transcripts and the one to add a judge to Skagit district court are moving along fine. The DMCJA has a bill to increase their civil jurisdictions, which passed the senate unanimously. It was rolled into a different bill on the house side as their house version isn't moving. The SCJA bills are doing well - one of the bills would allow them to consult the JIS System before entering certain kinds of orders, protection orders or parenting plans. This is the same as a bill last year that got caught up in the time crunch and didn't pass.

The Juvenile Records bill - House Bill 1481 and Senate Bill 5564 - started out as companion bills. They are still for the most part the same but they have some changes in them. They are both still moving, and the senate version is on the floor calendar for today, March 6. The bills would eliminate most juvenile offender LFO and would allow for sealing of the record even if the LFO is not paid in full.

House bill 1390 would apply to superior and limited jurisdiction courts and would create a formal indigency exception that applies RCW 10.101. It also establishes provisions about payment plans and, a particular issue for us from a technology perspective, a priority of payment for LFO. The bill has a fiscal impact to cities and counties, along with a JIS impact, in terms of lost revenue. One big impact is that our system can't do what they want it to do. There is a huge fiscal impact to reprogram systems we are going to stop using. The bill is on the house floor calendar. The companion bill in the senate did not move out of committee.

Committee Report

Data Dissemination Committee:

- The Committee welcomed newest member, Brooke Powell, the Juvenile Court Administrator for Snohomish County.

- The meeting minutes for December 5, 2014, and February 20, 2014, were approved.

- Spokane Request for RACFIDs for IT Personnel
  Ronald Miles presented Spokane County Superior Court Judge Salvatore Cozza's request to allow three local non-court IT personnel, who are permanently assigned to the court, to be given RACFIDs for ongoing projects with the County Courts and Clerk's Office. The Committee unanimously voted to approve the court's request.

- Snohomish Co. PAO Request for Researcher Access
  Pam Jones from the Snohomish County Prosecuting Attorney's Office presented the request for a researcher from the County's Human Services Department to be given level 25 JIS-LINK prosecutor access to assist with the Office's recidivism study. After finding out what data the researcher would need to access, the Committee offered fee-waived data dissemination requests processed through the AOC instead of the JIS-LINK account. Ms. Jones agreed and the Committee unanimously voted to allow Snohomish County PAO to submit fee-waived DD requests to AOC for the purpose of the recidivism study.

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- JABS access for Prosecutors and Public Defenders
  DDA Happold provided an update on the Committee's request to move JABS access for prosecutors and public defenders off of courts' RACFIDs, and instead, to be used with a JIS-LINK id.

- Case Type 7 Access for AGO and DSHS-CA
  DDA Happold provided an update on the Committee's previous questions about case type 7 access. The DDC requested that DDA Happold bring the exemption log to the next meeting for the Committee to review.

- DD Training Draft
  Committee reviewed the draft and provided additional subject matter and suggestions.

- Other Business
  DDA Happold updated them on 2SSB 5564 Section 3 and SHB 1617.

Meeting Wrap Up

Justice Mary Fairhurst asked the committee if they would agree to change the current pre-briefing process. Ms. Vicky Cullinane meets with each member briefing them on the upcoming agenda items and topics. Vicky records questions from members then relays the questions back to staff for answers. Going forward, all questions that are asked during pre-briefs will be shared with the appropriate staff so that answers can be provided at the meeting to the entire committee. Unless it is a simple answer, no answer will be return to the individual member prior to the meeting. This way all members will hear the concerns and questions of others and everyone will be aware of answers and responses.

We will try this for the next couple meeting, Judge Dalton agreed, and no other members had comments. Justice Fairhurst will continue to be de-briefed on all feedback from members after Vicky meets with them.

Adjournment

The meeting was adjourned by Justice Fairhurst at 1:45 p.m.

Next Meeting

The next meeting will be April 24, 2015, at the AOC SeaTac Facility; from 10:00 a.m. to 2:00 p.m.

Recap of Motions from March 6, 2015

Motion Summary	Status
Recommend to the Supreme Court an increase in the current JIS assessment from $17 to $23 and increase of the base penalty for, $42 to $48.	Passed

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I move that the JISC approve the Superior Court Case Management System (SC-CMS) Court User Work Group's (CUWG) recommendation to use a new case number format in the new statewide case management system for the 37 Superior Courts and County Clerk's offices implementing Odyssey.	Passed
I move that the JISC approve the Superior Court Case Management System (SC-CMS) Court User Work Group's (CUWG) and the AOC SC-CMS Project Team's recommendations to use new codes and formats in the new statewide case management system for the 37 Superior Courts and County Clerk's offices implementing Odyssey.	Passed

Action Items

	Action Item – From October 7th 2011 Meeting	Owner	Status
1	Confer with the BJA on JISC bylaw amendment regarding JISC communication with the legislature.	Justice Fairhurst	
	Action Item – From September 5th 2014 Meeting		
2	Find out whether individual persons' SSNs are needed for the bank account process superior courts use on the BAA and BAS screens	Vicky Cullinane	
	Action Item – From March 6th 2015 Meeting		
3	Send the AOC/King County Data Exchange Proposal to committee	Pam	Completed
4	JISC would like the Rules Committee to hold Rule 13 in abeyance until we (JISC) comes back with a follow up request.	Justice Fairhurst	Completed

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